Non-Orthogonal Domain Parabolic Equation and Its Tilted Gaussian Beam Solutions
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Abstract—A non-orthogonal coordinate system which is a priori matched to localized initial field distributions for time-harmonic wave propagation is presented. Applying, in addition, a rigorous paraxial-asymptotic approximation, results in a novel parabolic wave equation for beam-type field propagation in 3D homogeneous media. Localized solutions to this equation that exactly match linearly-phased Gaussian aperture distributions are termed tilted Gaussian beams. These beams serve as the building blocks for various beam-type expansion schemes. Application of the scalar waveobjects to electromagnetic field beam-type expansion, as well as reflection and transmission of these waveobjects by planar velocity (dielectric) discontinuity are presented. A numerical example which demonstrates the enhanced accuracy of the tilted Gaussian beams over the conventional ones concludes the paper.

Index Terms—Electromagnetic propagation, electromagnetic theory, Gaussian beams, propagation.

I. INTRODUCTION AND STATEMENT OF THE PROBLEM

The parabolic wave equation (PWE) models propagation of linear waves which is predominant in one direction. In this paper we refer to this direction as the “paraxial direction.” Under this assumption, as well as other asymptotic considerations, the Helmholtz equation is reduced to a much simpler form of a PWE [1]–[3]. Solutions of the PWE are subject to boundary conditions which are obtained by matching the field initial distribution on a given surface to the PWE near-field model. For problems in which the aperture distribution is given over a surface that is transverse to the initial paraxial direction, it may be conveniently matched to the PWE. Thus the field can be propagated in a step-by-step manner, by evaluating the field in each step from its distribution in the previous one.

The concept of PWE was first introduced with relation to radio propagation over realistic earth surfaces [4], followed by its generalization which combined it with the well-known ray coordinates of geometric optics that resulted in a new diffraction theory [5]. Mathematical refinements and further developments of the PWE method have been achieved in [6]–[11], along with some more recent work [12]–[17].

Important solutions of the PWE include its different beam-type waveobjects [18]–[23]. PWE methods may also be utilized for solving beam-type waveobjects propagation in generic media profiles such as inhomogeneous [24]–[26], anisotropic [27]–[31], and time-dependent pulsed beams in dispersive media [32]–[36]. The need for these solutions arises from beam-type expansions such as Gabor-based expansions [37], [38] or the frame-based field expansion [39], [40]. The latter utilizes the key feature of the beam’s continuous spectrum [38], [41], [42], and discretized the spectral representation with no loss of essential data for reconstruction. A theoretical overview of frame-based representation of scalar time-harmonic fields was presented in [39], with an extension to electromagnetic fields in [43], and for time-dependent scalar fields in [40].

Exact beam-type expansions require beam solutions that match localized aperture planar distributions. In these solutions, as well as in other different propagation scenarios, the boundary plane over which the initial field distribution is given is generally not perpendicular to the paraxial direction of propagation. Therefore, in order to use conventional (orthogonal coordinates) Gaussian beams, apart from asymptotic approximations, an additional approximation is carried out to project the initial field complex curvature matrix on a plane normal to the beam axis direction. This additional approximation reduces the accuracy of the resulting beam solutions especially for large angle departures and, moreover, it becomes inconsistent with respect to asymptotic orders. The need for the additional approximation may be avoided by applying a non-orthogonal coordinates system. This work is concerned with obtaining a novel form of PWE in non-orthogonal coordinates such that its beam-type solutions are matched exactly to linearly-phased Gaussian distributions over a tilted plane with respect to the beam-axis.

We seek for asymptotically-exact Gaussian beam (GB) solutions \( \hat{\mathbf{B}}(\mathbf{r}) \), to the 3D scalar Helmholtz equation

\[
(\nabla^2 + k^2)\hat{\mathbf{B}}(\mathbf{r}) = 0, \quad \nabla^2 = \frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} + \frac{\partial^2}{\partial z^2} \tag{1}
\]

in the \( z \geq 0 \) half-space, where \( k = \omega/\nu_0 \) is the homogeneous medium wavenumber with \( \nu_0 \) being the medium wave-speed and \( \hat{\mathbf{B}}(\mathbf{r}) \) a time-harmonic scalar field with suppressed time-dependence of \( \exp(j\omega t) \). In (1), \( \mathbf{r} = (x_1, x_2, z) \) is the conventional Cartesian coordinate frame with \( \mathbf{x} = (x_1, x_2) \) denoting the transverse coordinates (see Fig. 1). Another objective is to obtain GB which can serve as the building blocks for beam-type expansion schemes of scalar [39], as well as electromagnetic [43] fields. These expansion schemes decompose the field over a spatial-directional (spectral) lattice according to spatial and directional spectral variables (see (37)). The propagating elements
are GBs which are identified by their aperture planar field distributions over the \( z = 0 \) plane of the form [39, 42]

\[
\check{B}_0 (\mathbf{x}) = \exp \left[ -j k \left( \mathbf{\xi}^T \mathbf{x} + \frac{1}{2} \mathbf{x}^T \mathbf{\Gamma}_0 \mathbf{x} \right) \right]
\]

(2)

where \( \mathbf{\xi} = (\xi_1, \xi_2) \) are the expansion (directional) spectral variables and \( \mathbf{x} = (x_1, x_2) \). Additional details regarding electromagnetic beam-type expansion and its spectral variables are given in Section V. Throughout this work, all vectors are column vectors and superscript \( T \) denotes the matrix (or the vector) transpose, so that the linear phase-term \( \mathbf{\xi}^T \mathbf{x} = \xi_1 x_1 + \xi_2 x_2 \). In (2), \( \mathbf{\Gamma}_0 \) is a \( 2 \times 2 \) complex symmetric matrix with a negative definite imaginary part. Here and henceforth, bold minuscule letters are used to denote vectors, whereas bold capital letters are used to denote matrices. Note that (2) consists of a Gaussian distribution with a linear phase term that causes the beam to tilt with respect to the initial \( z = 0 \) plane (see (27) as well as Fig. 2).

The paper layout is as follows. In the next section, we introduce a non-orthogonal coordinate system which is \textit{a priori} matched to the field aperture distribution. In Section III, this coordinate system is utilized to obtain a novel (non-orthogonal) PWE whose localized solutions are obtained in Section IV (and termed tilted GBs). In Section V, we apply the scalar tilted GBs to beam-type expansion of electromagnetic waves. The reflection and transfer of these novel wave objects through planar velocity discontinuity is discussed in Section VI. Finally, in Section VII a numerical example which demonstrates the enhanced accuracy of the tilted GBs over the conventional (orthogonal coordinate) ones, is given.

II. THE COORDINATE SYSTEM

A. System Definition

We define a \textit{non-orthogonal local coordinate system} as a system in which the transverse beam coordinates, \( x_1 \) and \( x_2 \), lie on a plane parallel to the initial distribution plane at \( z = 0 \), whereas the longitudinal coordinate, \( z_b \), is directed along the tilted beam-axis. We chose \( x_{b1} \) and \( x_{b2} \) to be orthogonal and moreover, parallel to \( x_1 \) and \( x_2 \) axes, respectively (see Fig. 1). Coordinates \( x_{b1} \) and \( x_{b2} \) form angles of \( \theta_1 \) and \( \theta_2 \) with the \( z_b \) coordinate, respectively. It is assumed that angles \( \theta_1 \) and \( \theta_2 \) remain constant for all observation points. In view of (2), they are related to the beam-expansion directional variables \( \mathbf{\xi} \) in (2) via

\[
\mathbf{\xi} = (\xi_1, \xi_2) = (\cos \theta_1, \cos \theta_2).
\]

(3)

Denoting \( \theta_3 \) as the angle between the \( z \)-axis and the paraxial propagation direction \( z_b \), the unit-vector in the direction of \( z_b \) (in terms of the \( (x_1, x_2, z) \) coordinates) is given by

\[
\check{z}_b = (\xi_1, \xi_2) \quad \xi_1 = \cos \theta_2, \quad \xi_2 = \sqrt{1 - \xi_1^2} = \sin \theta_2
\]

(4)

where, here and henceforth, \( \theta \) over a vector denotes a unit-vector. Using these definitions, the \( z_b \)-axis is identified as the \textit{paraxial} propagation direction, and the transverse coordinates, \( x_{b1} \) and \( x_{b2} \), lie on a plane parallel to the \( (x_1, x_2) \) plane and are centered at the intersection of the \( (x_{b1}, x_{b2}) \) plane with the \( x_2 \)-axis. Therefore, the transformation from \( (x_1, x_2, z) \) to \( (x_{b1}, x_{b2}, z_b) \) is given by

\[
x_{b1} = x_1 - \xi_1 \xi_2 \check{z}, \quad x_{b2} = x_2 - \xi_2 \xi_1 \check{z}, \quad z_b = z / \xi_2
\]

(5)

and the observation vector \( \mathbf{r} \) is given by

\[
\mathbf{r} = x_{b1} \hat{x}_{b1} + x_{b2} \hat{x}_{b2} + z_b \hat{z}_b
\]

(6)

where \( \hat{x}_{b1} \), \( \hat{x}_{b2} \) and \( \hat{z}_b \) are the unit-vectors in the direction of the \( x_{b1} \), \( x_{b2} \) and \( z_b \) axes, respectively, i.e., \( \hat{x}_{b1} = \check{x}_1 \), \( \hat{x}_{b2} = \check{x}_2 \), whereas \( \hat{z}_b \) is given in (4).

B. Metric Coefficients

The three so-called unitary vectors of the non-orthogonal system in (5), \( a_{1,2,3} \), are given by [44]

\[
a_1 = \frac{\partial \mathbf{r}}{\partial x_{b1}} = \hat{x}_{b1}, \quad a_2 = \frac{\partial \mathbf{r}}{\partial x_{b2}} = \hat{x}_{b2}, \quad a_3 = \frac{\partial \mathbf{r}}{\partial z_b} = \hat{z}_b
\]

(7)

and the \( g_{ij} \) elements of the \( 3 \times 3 \) metric coefficients tensor \( \mathbf{G} \) are given by \( g_{ij} = a_i \cdot a_j \). By inserting (6) with (4) into (7),
we obtain the metric tensor of the non-orthogonal coordinate system

\[ G = [g_{ij}] = \begin{bmatrix} 1 & 0 & \xi_1 \\ 0 & 1 & \xi_2 \\ \xi_1 & \xi_2 & 1 \end{bmatrix} \]  

(8)

its inverse

\[ G^{-1} = [g^{ij}] = \xi^{-2} \begin{bmatrix} 1 - \xi_2^2 & \xi_1 \xi_2 & -\xi_1 \\ -\xi_1 \xi_2 & 1 - \xi_1^2 & -\xi_2 \\ -\xi_1 & -\xi_2 & 1 \end{bmatrix} \]  

(9)

and its determinant

\[ g = \det G = \xi_1 \xi_2. \]  

(10)

### III. THE NON-ORTHOGONAL DOMAIN PARABOLIC EQUATION

The Laplacian operator in a general non-orthogonal coordinate system \((u^1, u^2, u^3)\) is given by [44]

\[ \nabla^2 = \frac{1}{\sqrt{g}} \sum_{i=1}^{3} \sum_{j=1}^{3} \frac{\partial}{\partial u^i} \left[ g^{ij}(u^1, u^2, u^3) \sqrt{g(u^1, u^2, u^3)} \frac{\partial}{\partial u^j} \right] \]  

(11)

where \(g\) is given in (10) and \(g^{ij}\) are the \((u^1, u^2, u^3)\) coordinate system metric coefficients in (9). By substituting (11) with (9) and (10) into (1), we obtain the Helmholtz equation in the non-orthogonal coordinates

\[ \left( 1 - \xi_2^2 \right) \ddot{B}_{x_{x_1}x_{x_1}} + \left( 1 - \xi_1^2 \right) \ddot{B}_{x_{x_2}x_{x_2}} + 2\xi_1\xi_2 \ddot{B}_{x_{x_1}x_{x_2}} = 2\xi_1 \ddot{B}_{x_{x_1}x_{x_2}} - 2\xi_2 \ddot{B}_{x_{x_2}x_0} + \ddot{B}_{x_{x_0}x_{x_0}} + k^2 \xi_1 \xi_2 \ddot{B} = 0, \]  

(12)

where coordinate subscripts denote partial derivatives with respect to the coordinates, i.e., \(\dddot{B}_{x_{x_1}x_{x_1}} \equiv \partial^3 \dddot{B}/\partial x_{x_1} \partial x_{x_1} \partial x_{x_1}, \dot{U}_{x_{x_1}x_{x_2}} \equiv \partial^2 \dot{U}/\partial x_{x_1} \partial x_{x_2}, \dot{\phi} \). 

We are concerned with asymptotically evaluating the field \(\ddot{B}(r)\) that satisfies the 3D Helmholtz equation (12) with boundary condition (2). High-frequency wave-fields propagate along predominant directions (ray trajectories). Thus, solutions of the Helmholtz equation at an arbitrary observation point \(r\) close to a ray trajectory, can be evaluated asymptotically by solving the PDE along the trajectory. By referring to boundary condition (2), we identify \(\xi_i\) in (4) as the initial direction of the ray path which emanates from point \(r = (0, 0, 0)\) on the \(z = 0\) plane. Therefore, we assume here that the wave field has the following high-frequency form

\[ \ddot{B}(r) = U(r) \exp(-jk \xi_i x_i) \]  

(13)

where the Eikonal

\[ s(r) = \xi_i x_i + \xi_1 x_1 + \xi_2 x_2 \]  

(14)

is the projection of the observation vector \(r\) on the direction of the beam-axis \(\xi_i\), and \(U(r)\) denotes the ray-field amplitude. Using the ray-field (13), (14), we can evaluate the derivatives in

\[ \dddot{B}_{x_{x_1}x_{x_1}} = \left[ U_{x_{x_1}} - j\xi_1 kU \right] \exp(-jk s(r)) \]  

\[ \dddot{B}_{x_{x_2}x_{x_2}} = \left[ U_{x_{x_2}} - 2j\xi_2 kU_{x_{x_2}} - \xi_2^2 k^2 U \right] \times \exp(-jk s(r)) \]  

(15)

and so-forth for all partial derivatives in (12). Next, in the following derivation, following conventional paraxial ray-theory [3], [24], [25], we assume that the transverse coordinates, \(x_{x_1}\) and \(x_{x_2}\), are on the order of \((1/\sqrt{k})\) (this assumption is justified by (27)). Under this assumption

\[ \frac{\partial U(r)}{\partial x_{x_1}} \sim O(\sqrt{k}), \quad \frac{\partial^2 U(r)}{\partial x_{x_1}^2} \sim O(k). \]  

(16)

By inserting (15) with (16) into (12), we find that the \(k^2\) and \(k^3/2\) order elements cancel out, so that the parabolic equation is obtained by setting the next higher order, the \(k\)-coefficient, to zero. This procedure yields

\[ \left( 1 - \xi_2^2 \right) U_{x_{x_1}x_{x_1}} + 2\xi_1\xi_2 U_{x_{x_1}x_{x_2}} + \left( 1 - \xi_1^2 \right) U_{x_{x_2}x_{x_2}} - 2j\xi_2^2 U_{x_{x_2}x_{x_0}} = 0. \]  

(17)

Equation (17) is termed the Non-orthogonal Domain Parabolic equation (NoDope). Note, that by setting \(\xi_1 = \xi_2 = \pi/2\) (namely \(\xi_1 = \xi_2 = 0\)) in (17), the latter reduces to the well-known PWE in orthogonal coordinates [3], [24], [25].

### IV. TILTED GAUSSIAN BEAMS

#### A. Field Solutions

In view of the initial field distribution in (2), we are seeking localized beam solutions of the NoDope which carry Gaussian decay away from their \(\xi_i\) axes. Therefore, we assume a beam-type field of the form

\[ U(r) = A(z_0) \exp \left[ -jk \frac{1}{2} \xi_i^2 \Gamma(z_0) x_i \right] \]  

(18)

where \(x_i = (x_{x_1}, x_{x_2})\) and the so-called complex curvature matrix \(\Gamma\) is a complex symmetrical matrix with \(\Gamma_{ij}\) denoting its \((i, j)\)th element so that the exponent in (18) is of the quadratic form \(\xi_i^2 \Gamma z_0 = \xi_1^2 \Gamma_{11} z_0 + 2\xi_1\xi_2 \Gamma_{12} z_0 + \xi_2^2 \Gamma_{22} z_0\). The matrix \(\Gamma\) has a negative definite imaginary part, hence beam-field (18) exhibits a Gaussian decay over a plane that is tilted by \(\Gamma\) = (cos \(\phi_1\), cos \(\phi_2\)) with respect to the beam-axis direction \(\xi_0\). Beam-fields of form (18) (with (13)) which carry initial Gaussian distributions over the tilted \(z = 0\) plane are termed here tilted GBs. Using beam-field (18), we may now evaluate

\[ U_{x_{x_1}x_{x_1}} = \left[ -jk \Gamma_{11} - k^2 \left( \Gamma_{11} + \Gamma_{12} \right) \right] U \]  

\[ U_{x_{x_2}x_{x_2}} = \left[ -jk \Gamma_{22} - k^2 \left( \Gamma_{12} + \Gamma_{22} \right) \right] U \]  

\[ U_{x_{x_1}x_{x_2}} = \left[ -jk \Gamma_{12} - k^2 \left( \Gamma_{11} \Gamma_{22} + \Gamma_{12} \Gamma_{22} + \Gamma_{22} \right) \right] U \]  

\[ U_{x_{x_2}x_{x_1}} = \left[ -jk \Gamma_{21} - k^2 \left( \Gamma_{12} \Gamma_{22} + \Gamma_{12} \Gamma_{22} + \Gamma_{11} \right) \right] U \]  

\[ U_{x_{x_0}x_{x_0}} = \left[ -jk \Gamma_{00} - k^2 \right] U \]  

(19)
where the prime denotes a derivative with respect to the argument. Next, we insert all $U$ partial derivatives in (19) into NoDope (17) and collect elements of the same order in $x_{b_1}$ and $x_{b_2}$, which results in

$$
\left[\left(1 - \xi_{b_1}^2\right) \Gamma^2_{11} + 2 \zeta_{b_1} \zeta_{b_2} \Gamma_{11} \Gamma_{12} + \left(1 - \xi_{b_2}^2\right) \Gamma^2_{12} + 2 \zeta_{b_1} \zeta_{b_2} \Gamma_{12} \Gamma_{12} + \left(1 - \xi_{b_2}^2\right) \Gamma^2_{12} + 2 \zeta_{b_1} \zeta_{b_2} \Gamma_{12} \Gamma_{12} \right] x^2_{b_1} + \left(2 \left(1 - \xi_{b_2}^2\right) \Gamma_{11} \Gamma_{12} + 2 \left(1 - \xi_{b_2}^2\right) \Gamma_{12} \Gamma_{12} + 2 \zeta_{b_1} \zeta_{b_2} \Gamma_{12} \Gamma_{12} \right) x_{b_1} x_{b_2} + \left(\text{trace}[\Gamma] - \zeta_{b_2}^2 \Gamma_{11} + 2 \zeta_{b_2} \zeta_{b_2} \Gamma_{12} - \zeta_{b_2}^2 \Gamma_{12} \right) + 2 \zeta_{b_1} A'_{b_2}(z_b) / A(z_b) \right) (j k)^{-1} = 0. \tag{20}
$$

Relation (20) holds for all $x_0$ near the beam-axis, and therefore, consists of four equations which are obtained by setting all four coefficients to zero. These equations may be written in a compact way by defining

$$
\Upsilon = \begin{bmatrix}
1 - \xi_{b_1}^2 & \zeta_{b_1} \\
\zeta_{b_1} & 1 - \xi_{b_2}^2
\end{bmatrix}.

\tag{21}
$$

The first three equations yield a vector Riccati-type equation for $\Gamma$:

$$
\Gamma \Upsilon^T + \zeta^2 \Upsilon^T (z_b) = 0 \tag{22}
$$

whereas setting the last (free) term in (20) to zero yields

$$
\text{trace}(\Upsilon \Gamma) A(z_b) + 2 \zeta^2 A'(z_b) = 0. \tag{23}
$$

Equation (23) serves as the amplitude $A(z_b)$ equation once the Riccati equation (22) is solved for $\Gamma$. The procedure of solving the Riccati equation is straightforward in that by setting $\Gamma(z_b) = Q^{-1}(z_b)$, relation (22) transforms into $Q' - \zeta^{-2} \Upsilon = 0$. Thus

$$
\Gamma(z_b) = Q^{-1}(z_b) = \left[\Gamma^{-1}_0 + \zeta^{-2} \Upsilon z_b\right]^{-1} \tag{24}
$$

where $\Gamma_0$ is the complex curvature matrix of the initial field distribution over the $z_b = 0$ plane in (2). The beam amplitude, $A(z_b)$, may now be found by inserting $\text{trace}[Q Q^{-1}] = \text{ln}'[\text{det}(Q)]$ as well as (24), into (23), which results in the linear

$$
A(z_b) \text{ln}'[\text{det}(Q)] + 2 A'(z_b) = 0, \tag{25}
$$

Using a straightforward separation of variables, we obtain

$$
A(z_b) = \sqrt{\frac{\text{det}[\Gamma(z_b)]}{\text{det}[\Gamma_0]}}. \tag{26}
$$

The tilted GB field may now be written explicitly by using (24) and (26) in (18), and inserting into (13), which yields

$$
\hat{B}(r) = \sqrt{\frac{\text{det}[\Gamma(z_b)]}{\text{det}[\Gamma_0]}} \exp[-j k \Psi(r)]
$$

$$
\Psi(r) = s + \frac{1}{2} x_0^2 \tilde{\Gamma}(z_b) x_0, \tag{27}
$$

where $s$ is given in (14), $x_0 = (x_{b_1}, x_{b_2})$ and $\tilde{\Gamma}(z_b)$ is given in (24). By setting $z_b = 0$, we verify that GB (27) satisfies the aperture field distribution in (2) exactly. This type of GB waveobjects exhibits frequency-independent collimation (Rayleigh) distance and therefore have been termed iso-diffracting [45] (see also [34]). The iso-diffracting feature makes these waveobjects highly suitable for UWB radiation representations [31, 33, 34, 39–[41, 46].

### B. Field Parametrization

Applying radially-symmetric Gaussian windows to some aperture field distribution results in a beam-type expansion in which the propagating waveobjects is iso-axial tilted GBs. Such GBs are characterized by a diagonal initial complex curvature matrix of the form [39, 42, 43]

$$
\Gamma_0 = \Pi_0, \quad \Gamma_0 = (-Z + j F)^{-1}, \quad F > 0 \tag{28}
$$

where $\Pi$ denotes the $2 \times 2$ unity matrix. The complex curvature matrix is obtained by using (28) in (24), which yields (29) at bottom of page. The real and imaginary parts of the complex iso-axial curvature matrix may be diagonalized simultaneously by rotating the $x_0$-axes over constant $z_b$-planes, by a $z_b$-independent angle $\Phi_c$, where

$$
\tan 2 \Phi_c = 2 \zeta_{b_2} / \left(\xi_{b_2}^2 - \xi_{b_1}^2\right) \tag{30}
$$

i.e., $\cos \Phi_c = \xi_{b_2} / \xi$ and $\sin \Phi_c = \zeta_{b_2} / \xi$ with $\xi = \sqrt{\xi_{b_2}^2 + \zeta_{b_2}^2}$. Angle $\Phi_c$ is identified as the angle between the $x_{b_1}$-axis and the projection of the $z_b$-axis on the $(x_{b_1}, x_{b_2})$ plane. The resulting rotation transformation of $x_0$ to the transverse coordinates $x_c = (x_{b_1}, x_{b_2})$ in which $\Gamma$ is a diagonal matrix is given by

$$
x_c = T x_0, \quad T = \begin{bmatrix}
\cos \Phi_c & \sin \Phi_c \\
-\sin \Phi_c & \cos \Phi_c
\end{bmatrix}. \tag{31}
$$

$$
\Gamma(z_b) = \begin{bmatrix}
-Z + j F + \left(1 - \xi_{b_1}^2\right) \zeta^{-2} z_b & \xi_z \bar{z} \zeta^{-2} z_b \\
\xi_{b_2} \zeta z_{b_1} \zeta^{-2} z_b & -Z + j F + \left(1 - \xi_{b_2}^2\right) \zeta^{-2} z_b
\end{bmatrix}^{-1}. \tag{29}
$$
Thus, the quadratic phase in (27) in the $x_c$ coordinates, is given by
\[ x_c^T \Gamma x_c = x_c^T \Gamma_c x_c, \quad \Gamma_c = \mathbf{T} \mathbf{T}^{-1} \]  
(32)
and, by using (29) with (31) in (32), we obtain
\[ \Gamma_c(z_b) = \begin{bmatrix} z_b Z_1^2 - Z + jF^2 & 0 \\ 0 & z_b Z_2^2 - Z + jF^2 \end{bmatrix}^{-1} \]  
(33)
where $Z_1$ and $Z_2$ are given in (28).

Using (33) with (32) in (27), we find that the iso-axial tilted GB exhibits (pure) quadratic decay in the $(x_{c1}, x_{c2})$ directions with corresponding $e^{-1}$ beam-widths of
\[ W_{1,2}(z_b) = D_{1,2} \sqrt{1 + \frac{(z_b - Z_{1,2})^2}{F_{1,2}^2}} \]  
(34)
where
\[ Z_1 = Z_1 Z_2^2, \quad Z_2 = Z, \quad F_1 = F_2 Z_2^2, \quad F_2 = F \]  
(35)
and $D_{1,2} = \sqrt{8F_{1,2}/k}$ are the principal beam-widths at the waists. By using (34), we identify $F_{1,2}$ and $Z_{1,2}$ as the beam collimation-lengths and waist-locations on the $(z_b, x_{c1,2})$ principal planes, respectively. On the $(z_b, x_{c1,2})$ planes, the beam field remains collimated near the waist where $|z_b - Z_{1,2}| \ll F_{1,2}$, whereas away from the waist, it opens up along constant diffraction angles of $\Theta_{1,2} = (kF_{1,2}/8)^{-1/2}$ in $x_{c1,2}$ axes.

V. APPLICATIONS TO ELECTROMAGNETIC WAVES

Expansion of electromagnetic (EM) fields using GBs has been applied in [47]–[49] for analyzing large reflector antennas in which the expansion coefficients were obtained by numerically matching GBs to the far zone field of the feed antenna. However these methods do not employ exact field expansion schemes and therefore, cannot be applied for near-field analysis, or in exact field calculations. Exact EM field beam-type expansion was investigated in [43], but the asymptotic EM beam propagators presented there were limited to validity of the conventional (orthogonal coordinates) paraxial approximation, i.e., for small $\theta_3$ angles. Here, we briefly describe the EM expansion scheme presented in [43] and then derive accurate asymptotics in term of scalar tilted GBs in (27).

The discrete exact expansion scheme synthesizes the time-harmonic EM field propagating in $z \geq 0$ due to sources in $z < 0$, given the transverse electric field over $z = 0$ plane
\[ E_0(x) = E_1(x) \xi_1 + E_2(x) \xi_2, \]  
(36)
The propagation medium is homogeneous with $\epsilon_0$ and $\mu_0$ denoting the free space permittivity and permeability, respectively. The expansion scheme is constructed on a discrete spatial-directional lattice $\mathbf{x} = (x_1, x_2)$ and $\xi = (\xi_1, \xi_2)$, where
\[ (\xi, \bar{\xi}) = (m_1 \Delta x_1, m_2 \Delta x_2, n_1 \Delta \xi_1, n_2 \Delta \xi_2) \]  
(37)
with $(\Delta x_1, \Delta x_2)$ and $(\Delta \xi_1, \Delta \xi_2)$ denoting the unit-cell dimensions in the $(x_1, x_2)$ and $(\xi_1, \xi_2)$ coordinates, respectively. The index $\mu = (m_1, m_2, n_1, n_2)$ is used to tag the lattice points (see Fig. 2). These unit-cell dimensions satisfy
\[ k \Delta x_1 \Delta \xi_1 = 2\pi \nu_1, \quad k \Delta x_2 \Delta \xi_2 = 2\pi \nu_2 \]  
(38)
where $0 < \nu_{1,2} \leq 1$ are the overcompleteness parameters in the $x_1, x_2$ axes.

The initial field distribution is expanded using a spatially-localized synthesis window, $\psi(x)$, whereas the expansion coefficients are obtained by evaluating the inner product of the initial distribution with the corresponding analysis window $\varphi(x)$. Several methods for evaluating the analysis window from the synthesis window are provided in [39]. Following [43], the coefficients vector of the initial electric field is given by
\[ \mathbf{a} = a_1^T \mathbf{s}_1 + a_2^T \mathbf{s}_2 = \int d^2 \mathbf{x} E_0(x) \varphi^*(x; \mu) \]  
(39)
where the function set $\varphi(x; \mu)$ is related to the analysis window $\varphi(x)$ via
\[ \varphi(x; \mu) = \varphi(x - \bar{x}) \exp \left[ -j k \xi T (x - \bar{x}) \right]. \]  
(40)
Using the coefficient vector in (39), the electric field expansion is given by
\[ E(r) = \sum_{\mu} d_{\mu}^1 E^B_1(r; \mu) + d_{\mu}^2 E^B_2(r; \mu) \]  
(41)
where the electric fields of the EM beam propagators, $E^B_1(r; \mu)$ and $E^B_2(r; \mu)$, are obtained from the scalar beam propagator $B(r; \mu)$ via
\[ E^B_1(r; \mu) = (\xi_1 - \bar{\xi} \partial_{\xi_1} \partial_{\xi_1}^{-1}) B(r; \mu), \quad E^B_2(r; \mu) = (\xi_2 - \bar{\xi} \partial_{\xi_2} \partial_{\xi_2}^{-1}) B(r; \mu). \]  
(42)
Here we denote, $\partial_{\xi_1} = \partial / \partial x_1$, $\partial_{\xi_1}^{-1} = \int d\xi_1$, etc. Note that these operators may be evaluated asymptotically in closed form for Gaussian windows (see (48) and (49)). The scalar beam propagator, $B(r; \mu)$, satisfies the Helmholtz equation (1) subject to the aperture distribution over the $z = 0$ plane
\[ B_0(x; \mu) = \psi(x - \bar{x}) \exp \left[ -j k \xi T (x - \bar{x}) \right], \]  
(43)
where $\psi(x)$ is the synthesis window. Equation (41) together with (42) represent the electric field, $E(r)$, as a discrete superposition of EM beam waveobjects, $E^B_1$ and $E^B_2$, which are the electric field propagators due to the initial electric field $x_1$ and $x_2$ components present over the $z = 0$ plane, respectively.

The corresponding magnetic field in $z \geq 0$ is obtained by inserting (41) with (42) into Faraday’s law, $\mathbf{H} = -(j \omega \mu_0)^{-1} \nabla \times \mathbf{E}$. This yields
\[ \mathbf{H}(r) = \sum_{\mu} d_{\mu}^1 H^B_1(r; \mu) + d_{\mu}^2 H^B_2(r; \mu) \]  
(44)
where the expansion coefficients are given in (39) and the magnetic field of the EM beam propagators, $\mathbf{H}_1^B$ and $\mathbf{H}_2^B$, are given by

$$
\mathbf{H}_1^B(r; \mu) = \frac{-j}{k\eta_0} \left[ \hat{x}_1 \partial_z^2 - \hat{x}_2 \left( \partial_{x_1}^2 + \partial_z^2 \right) + \hat{z} \partial_z \right] B(r; \mu)
$$

and

$$
\mathbf{H}_2^B(r; \mu) = \frac{-j}{k\eta_0} \left[ \hat{x}_1 \partial_z^2 + \hat{x}_2 \partial_{x_1}^2 + \hat{z} \partial_z \right] B(r; \mu)
$$

and where $\eta_0 = \sqrt{\mu_0/\varepsilon_0} = 120\pi\Omega$ is the free space wave impedance.

Next, the general spectral representation in (41) is applied for the special case of Gaussian windows. By choosing a Gaussian synthesis window

$$
\psi(x) = \exp\left(-\frac{1}{2} x^T \Gamma_0 x\right)
$$

and inserting it into (43), we find that the scalar beam propagators, $B(r; \mu)$, satisfy the Helmholtz equation subject to the initial distribution (2). Hence, these waveobjects are recognized as the tilted GBs in (27) subject to a transverse shift by $\hat{x}$ to the spectral lattice (i.e., by replacing $x$ with $(x - \hat{x})$ in (5)).

The asymptotic paraxial tilted GB can be used for evaluating the EM Gaussian propagators by inserting (27) into (42), and evaluating the partial derivatives in closed form. Thus, by using (5) and maintaining only terms of the highest asymptotic order, we may replace

$$
\partial_{x_1} \sim -j k \hat{x}_1, \quad \partial_{x_2} \sim -j k \hat{x}_2, \quad \partial_z \sim -j k \hat{z} \quad (47)
$$

such that the resulting asymptotic electric field propagators are given by

$$
\mathbf{E}_1^B(r; \mu) \sim \frac{1}{\chi} [\hat{x}_1 \hat{x}_2 - \hat{x}_1 \hat{x}_2] B(r; \mu)
$$

In a similar manner, the magnetic field, $\mathbf{H}_1^B(r)$, is obtained by using (47) in (45), giving

$$
\mathbf{H}_1^B(r; \mu) \sim \frac{-1}{\eta_0 k} \left[ \hat{x}_1 \hat{x}_2 \hat{z}_{1} + \hat{x}_2 \hat{z}_{2} \right] B(r; \mu)
$$

and

$$
\mathbf{H}_2^B(r; \mu) \sim \frac{1}{\eta_0 k} \left[ \hat{x}_1 \hat{z}_{1} \hat{z}_{2} - \hat{z}_1 \hat{z}_2 \right] B(r; \mu)
$$

VI. REFLECTION AND TRANSMISSION FROM PLANAR DISCONTINUITIES

In this section, we explore a 2D tilted GB incidence on planar wave-speed discontinuity as well as the reflecting and transmitted fields. The incident scalar field propagating in $\nu_1$ medium is assumed to have the 2D tilted beam canonical form, which is obtained by setting $\Gamma_1^D = \Gamma_2^D = \Gamma_0^D = 0$ in GB (27). The scalar field satisfies continuity of the total field at the interface, as well as of $\chi_{12}^D (d)$. These boundary conditions correspond to an electromagnetic field incidence where $\chi_{12}$ correspond to either $\epsilon_{1,2}$ or $\mu_{1,2}$ for a TE or TM field incidence, respectively, and the scalar field being either the electric (TE) or magnetic (TM) field component in the direction normal to the plane of incidence, $\hat{x}_2$. Subscripts 1, 2 denote quantities on either side of the interface (see Fig. 3).

We assume that both reflected and transmitted fields are all of the same canonical form and differ only by amplitude $A$, Eikonal $s$ and initial complex curvature $\Gamma_0$. Therefore, we may write

$$
\tilde{B}_1^D(r) = A (\nu_0) \exp[-j k \nu_0 \psi_1 (\nu_0)]
$$

and

$$
\tilde{B}_2^D(r) = s_\alpha + \frac{1}{2} \Gamma_\alpha (\nu_0) x_{\alpha}^2
$$

where subscript $\alpha = i, r$, and $t$ denote incident, reflected and transmitted wave constituents, respectively. Note that $v_1 = v_1$, whereas $v_2 = v_2$ where $v_1$ and $v_2$ are the wave velocities on either side of the interface. Each tilted GB is identified by $\phi_{\alpha}$ which denotes the angle between $\nu_{\alpha}$ and $x_{\alpha}$ in the non-orthogonal coordinate systems, and $\psi_{\alpha}$ which denotes the incidence, reflection or transmission conventional (snell) angles. In order to compare the beam-fields along the interface, we express them as a function of the length coordinate along this interface, which is denoted by $d$. Without loss of generality, we assume that $\nu_{\alpha} = 0$ and $d = 0$ at the incident point, i.e., at the intersection of the incident beam-axis and the scattering interface. Using the 2D analog of the tilted beam complex curvature in (24), we may write

$$
\Gamma_{\alpha} (\nu_0) = \left[ \Gamma_{12}(\nu_0) + \cos^2 \theta_{\alpha} \nu_{\alpha} \right]^{-1}
$$

where $\Gamma_{\alpha}(0)$ denotes the tilted beam-field complex curvature at the incident point, $d = 0$. Using simple trigonometry, we may express the beam-field coordinates along the interface in terms of $d$ as

$$
x_{\alpha} = \frac{\cos \nu_{\alpha} d}{\sin \nu_{\alpha}}, \quad \nu_0 = -\frac{\cos \nu_{\alpha} + \theta_{\alpha}}{\sin \nu_{\alpha}} d.
$$

By applying a continuity condition to the total field, it can be concluded that the three phases of $\tilde{B}_{\alpha}$ should all coincide at the interface. By using (52) in (50) and comparing the linear phase-term, we obtain the conventional Snell’s law

$$
\omega_i = \omega_f, \quad v_2 \sin \varphi_i = v_1 \sin \varphi_f
$$

while the quadratic phase-term in (51) yields

$$
\cos (\varphi_i + \theta_i) = \frac{v_1 \cos^2 \varphi_i \cos (\varphi_f + \theta_i)}{v_2 \cos^2 \varphi_i \sin \varphi_f}
$$

Fig. 3. Scattering of the tilted GB from a planar discontinuity in the medium’s velocity profile. The reflected and transmitted fields are tilted GBs which are characterized by the corresponding $\varphi$ (beam-axis direction) and $\theta$ (non-orthogonal system tilt) parameters. $d$ is a length coordinate along the interface.
The transmitted complex curvature is given by

$$\Gamma_t(0) = \Gamma_t(0) \frac{\cos^2 \phi_t \sin^2 \partial_t}{\sin^2 \partial_t \cos^2 \phi_t},$$  \hspace{1cm} (55)$$

Note that relation (54) may be further simplified in the form

$$\sin \partial_t = \frac{\cos \phi_t}{\sqrt{\cos^2 \phi_t + (C + \sin \phi_t)^2}}$$  \hspace{1cm} (56)$$

where

$$C = \frac{v_1 \cos^2 \phi_t \cos(\phi_t + \partial_t)}{v_2 \cos^2 \phi_t \sin \partial_t}.$$  \hspace{1cm} (57)$$

One may readily observe that the right-hand side of (56) is smaller than one. Hence, a real solution for $\partial_t$ is assured for incident angles smaller than the critical angle, where $\phi_t$ is real. Once $\partial_t$ is found from either (54) or (56), the transmitted complex curvature, $\Gamma_t(0)$, is obtained from (55). Following essentially the same procedure, we obtain for the reflected field

$$\partial_r = \partial_i \quad \Gamma_r(0) = \Gamma_t(0).$$  \hspace{1cm} (58)$$

Finally, we apply the continuity condition to the total field over the interface, as well as to its weighted normal derivative, $\chi_{1,2} \partial/\partial n$, and obtain the well-known reflection and transmission amplitude coefficient relations

$$A_r = A_i R, \quad A_t = A_i T$$ \hspace{1cm} (59)$$

where $R$ and $T$ are the conventional fretnel reflection and transmission coefficients

$$R = \frac{\chi v_1 v_2 \cos \phi_t - \chi v_2 v_1 \cos \phi_t}{\chi v_1 v_2 \cos \phi_t + \chi v_2 v_1 \cos \phi_t}, \quad T = 1 + R.$$ \hspace{1cm} (60)$$

Note that for the TE case $\chi v = \sqrt{\mu/\epsilon} = \eta$, whereas the TM component yields $\chi v = \sqrt{\epsilon/\mu} = \eta^{-1}.$

VII. NUMERICAL EXAMPLE

The general formulation in Section IV is demonstrated in this section by a numerical simulation of a 2D tilted GB which is obtained by setting $\Gamma_0^1 = \Gamma_0^2 = \Gamma_0^3 = \Gamma_0^4 = 0$ in (27). Hence, the matrix $\Gamma_0$ is transformed into the scalar $\Gamma_0$, the vectors $x, x_i$, and $\bar{\xi}$, are replaced by the scalars $x, x_i$, and $\bar{\xi}$ respectively, and the initial tilt angles $\partial_1, 2$ by $\partial$. The initial field distribution is given by (2), with

$$\Gamma_0 = (\bar{\xi}^2 - Z + jF)^{-1}.$$ \hspace{1cm} (61)$$

The simulation compares the error of the tilted GB to the error of the conventional (orthogonal coordinate) GB solution, all with respect to a reference solution according to the following details.

A. Tilted GB Simulation

The 2D complex curvature, $\Gamma(z_i)$, is obtained by using (61) in (24), giving

$$\Gamma(z_i) = (z_i \bar{\xi}^2 - Z + jF)^{-1}.$$ \hspace{1cm} (62)$$

where $\bar{\xi} = \sqrt{1 - \bar{\xi}^2}$. By inserting (62) into the 2D analogue of (27), we obtain the 2D asymptotically-exact tilted GB

$$\hat{B}_{tilded} = \sqrt{\frac{1}{1 + \Gamma_0 \bar{\xi}^2}} \exp \left\{-j k \left[ s + \frac{1}{2} \frac{\Gamma(z_i) s^2}{\xi n^2} \right] \right\}.$$ \hspace{1cm} (63)$$

For a given observation point, $r = (x, z)$, the local non-orthogonal coordinates are given by $x_i = n \bar{\xi}$ and $z_i = s - n \bar{\xi}$, where $n$ is a coordinate normal to the beam-axis and $s$ is the distance between the exit point to the intersection point of the normal coordinate and the beam-axis. Thus, the local orthogonal coordinates are given by

$$s = \xi x + \bar{\xi} z \quad n = \xi x - \bar{\xi} z.$$ \hspace{1cm} (64)$$

B. Conventional GB Simulation

We compare the tilted GB (63) to the conventional one which is obtained by projecting the initial complex curvature $\Gamma_0$ over a plane perpendicular to the beam axis direction $\chi \xi$, [3], [26]. Hence, the projected complex curvature matrix is given by $\Gamma_{\chi\xi} = \frac{1}{\xi n^2}$ and the conventional (orthogonal coordinates) GB, $\hat{B}_{orth}$ is given by

$$\hat{B}_{orth} = \sqrt{\frac{1}{1 + \Gamma_{\chi\xi} s^2}} \exp \left\{-j k \left[ s + \frac{1}{2} \frac{\Gamma_0 (s n)^2}{\xi n^2} \right] \right\}.$$ \hspace{1cm} (65)$$

where $s$ and $n$ are given in (64).

C. Reference Field Solution

The reference field solution is obtained by evaluating numerically the plane-wave spectrum integral corresponding to the aperture distribution (2), i.e.,

$$\hat{B}_{ref}(x, z) = \sqrt{\frac{-j k}{2 \pi \Gamma_0}} \int d\xi \exp \left[-j k q(\xi) \right]$$

$\quad q(\xi) = -\frac{(\xi - \bar{\xi})^2}{2 \Gamma_0} + \xi z + \xi x$ \hspace{1cm} (66)$$

with $q(\xi) = \sqrt{1 - \xi^2}$. Im$\xi \geq 0$, and $\Gamma_0$ is given in (61). The $(-\infty, \infty)$ integration in (66) is replaced with a truncated integration over an effective contribution interval around the phase on-axis stationary point $x_0 = \bar{\xi}$, i.e., $[\bar{\xi} - \Delta \xi, \bar{\xi} + \Delta \xi]$ with $\Delta \xi = M/k\Im(\Gamma_0^{-1}) = \sqrt{M/\pi k}$ where $e^{-M}$ is the required threshold for spectrum attenuation. The sampling rate, $\delta \xi$, is chosen according to the condition $\delta \xi \ll \Delta \xi / M$ (which is valid in the collimation zone), implying that the integrand oscillation period is much larger than the sampling rate and that the integrand attenuation in the sampled interval is sufficient for convergence. It was found that in order to achieve accuracy range of $10^{-5}$, it is sufficient to chose $M = 5$ and $\delta \xi = 10^{-3} \Delta \xi / M$.

D. Error Comparison

In order to verify the accuracy of the tilted GB solution, we compare the $L_2$ error norm of the tilted GB in (63) with respect to the reference field in (66), to the corresponding $L_2$ error norm
of the conventional GB solution in (65). The $L_2$ error norm between GB $\mathcal{B}$ and the reference GB, $\mathcal{B}_{\text{ref}}$, is evaluated along line $L$ according to

$$L_2[\mathcal{B}, \mathcal{B}_{\text{ref}}] = \sqrt{\frac{1}{L} \int_L |\mathcal{B}(l) - \mathcal{B}_{\text{ref}}(l)|^2 dl} \tag{67}$$

where $\mathcal{B}$ stands for $\mathcal{B}_{\text{orth}}$ in (65) or $\mathcal{B}_{\text{tilted}}$ in (63).

In Fig. 4, the $L_2$ error norm is evaluated along an observation line which is normal to the beam-axis and located at an on-axis distance of $s_m$ from the initial $z = 0$ plane. The figure plots the error as a function of the beam-axis angle $\theta$ for different values of $s_m/F_b$, all with $\text{Re} \Gamma_0 = 0$, setting the GB waist location to $z = 0$. The curves are arranged in couples with the continuous and dashed lines corresponding to the tilted GB error and the conventional one, respectively. Each of the curve couples in Fig. 4 share a gray shade which correspond to different beam parameter $F = -1 \text{Im}(\Gamma_0^{-1})$ (recall from (35) that the collimation-length $F_b = F_2^{\infty} = F \sin^2 \theta$). The observation line is located at $1/2$ or $1/4$ of the corresponding collimation-length $F_b$ in (4a) and (4b), respectively. The plots are evaluated for $k = 1/3$. These figures clearly demonstrate the lower $L_2$ error of the tilted GBs with respect to the conventional ones for a wide range of collimation lengths and angles.

Fig. 5 plots the error vs. different observation line locations with respect to the collimation length, $0.2 < s_m/F_b < 1$, for $F = 40$, $k = 1/3$ and for the three $\theta$ values $40^\circ$, $60^\circ$ and $80^\circ$. The figure demonstrates that the tilted GB exhibits better accuracy within the localized beam domain, $s_m/F_b < 0.7$. Beyond the collimation-length, where the beam opens up, the tilted beams have no advantage over the conventional ones. Since beam-type expansions are generally used to take advantage of analytic simplicity which is introduced by the spatial and spectral localization of these waveobjects, these expansion schemes are tuned such that the GBs remains well-collimated within the domain of interest. The results in Fig. 5 clearly show that in the well-collimated regime, tilted GBs exhibit enhanced accuracy over the conventional ones. Full parameterization of different types of tilted GB waveobjects can be found in [50].

REFERENCES


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