Dielectric Cover Effect on Rectangular Microstrip Antenna Array
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Abstract—A theoretical model to analyze a covered rectangular antenna with an arbitrary dielectric constant superstrate is developed. The antenna is simulated by the radiation of two magnetic dipoles located at the radiating edges of the patch. The Green’s function of an elementary magnetic dipole in a superstrate-substrate structure, utilizing spectral-domain analysis, is formulated, and the surface-wave and radiation fields are computed. An improved transmission line model, which considers the stored energy near the radiating edges and the external mutual coupling, is used to compute the input impedances and radiation efficiency. Design considerations on the superstrate thickness and its dielectric constant are discussed. Experimental data for a single element and a $4 \times 4$ microstrip array is presented to validate the theory.

I. INTRODUCTION

The increasing use of microstrip antennas in the electronic communication market requires simple models to analyze their performance. The transmission line model [1] is one of the simplest of the somewhat successful models for analyzing uncovered rectangular microstrip antennas. This paper attempts to implement the same concepts to the analysis of a rectangular microstrip antenna covered with a dielectric superstrate layer. In many applications a radome to protect the antenna is required. The dielectric cover offers such a protection and keeps the antenna low profile. However, it has impendence matching and radiation effects that must be considered.

Few attempts have been made to solve this problem. Alexopoulos and Jackson [2] solved the problem of an electric dipole radiating in a substrate–superstrate structure by formulating the Green’s function. The basic properties of radiation in such an environment have been discussed in their paper. One significant result in their work was that a superstrate with dielectric constant higher than that of the substrate may, at the proper thickness, reduce to a minimum the surface-wave excitation and increase the radiation efficiency. This result motivated our inquiry and interest into the possibility of increasing the dielectric cover thickness to a maximum (mechanical considerations) without paying a significant price in extra losses due to radiation efficiency decrease (excessive surface-wave excitation).

Bhattacharyya and Tralman [3] have conducted an empirical study of the dielectric superstrate effects on a patch antenna. Bhattacharyya [4] analyzed the radiation properties of a circular patch in a substrate–superstrate structure by implementing the cavity model to solve the problem. Benalla and Gupta [5] have introduced the multiport network model to analyze the radiation from a rectangular microstrip antenna covered with a dielectric layer. In their analysis they considered the special case in which the dielectric constants of the superstrate and substrate are equal. Tu and Chang [6] used the Wiener–Hopf technique to compute the effect of the cover layer on the edge admittance of a semi-infinite microstrip line as an interim step to compute the performance of a covered patch antenna.

In the work reported in this paper the substrate and superstrate can have arbitrary dielectric constants. An improved transmission line model, which accounts for the external mutual coupling between the two equivalent slots of the radiating patch, is used, and a $4 \times 4$ microstrip array was designed, built, and tested to validate the analysis. Section II describes the theory of the method. The general Green’s function of an elementary magnetic dipole in a superstrate–substrate structure, utilizing spectral-domain analysis, is formulated, and the surface-wave and radiation fields are computed. The transmission line model is used to compute the input impedance and the radiation efficiency. Section III compares numerical results to measured results for a single patch and a $4 \times 4$ element array.

II. THEORY

A. Green’s Function Formulation

The basic geometry of a rectangular microstrip antenna covered by a dielectric layer is shown in Fig. 1. The patch is rectangular with width $b$ and length $a$. The substrate–superstrate thickness and dielectric constants are $d_1$, $\varepsilon_1$, and $d_2$, $\varepsilon_2$, respectively. The substrate used is assumed to be thin so that the fields underneath the patch have no $z$ variations. The patch constitutes a cavity with radiating magnetic walls. By the Schelkunoff equivalence principle the radiation from the cavity magnetic walls can be approximated by two $x$-directed magnetic current sheets with spacing $a$, length $b$, width $d_1$, and located at a height $d_1$ above the ground plane. Near resonance of the dominant mode, the patch radiation is due to the fringing fields of the radiating edges at $y = \pm a/2$. For the purposes of the computation of the edge admittances, the fields of an elementary $x$-directed magnetic dipole located at the interface between the substrate and superstrate layers at $(x_0, y_0, d_1)$ is needed. The $x$ and $y$ components of the electric field in terms of the plane-wave spectrum can be written as

$$E_x(x, y, z) = \frac{1}{4\pi^2} \left[ \int_{-\infty}^{\infty} G_{xx}(k_x, k_y) e^{-j(k_x(x-x_0)+k_y(y-y_0))} dk_x dk_y \right]$$

(1)

$$E_y(x, y, z) = \frac{1}{4\pi^2} \left[ \int_{-\infty}^{\infty} G_{yy}(k_x, k_y) e^{-j(k_x(x-x_0)+k_y(y-y_0))} dk_x dk_y \right]$$

(2)

The transverse field (in $x$ and $y$ directions) and their Fourier transform in all three regions under consideration (substrate, superstrate, and free space above) can be expressed in terms of their $z$ components, $E_z$, $H_z$ and $\tilde{E}_z$, $\tilde{H}_z$, respectively. The next step would be to impose the boundary conditions in all three regions for the transverse electric and magnetic components. On the ground plane ($z = 0$) the transverse electric fields vanish. At the interface between the substrate and superstrate ($z = d_1$) we impose the continuity of the magnetic fields and the jump condition for the electric fields on an elementary $x$-directed magnetic dipole. At the interface of the superstrate and free space ($z = d, d_1 = d_2 + d_3$) the transverse electric and magnetic fields are continuous. Proceeding through all these steps we obtain after some algebraic manipulations the fields in all three regions. The $z$ components of the Fourier transform electric and magnetic fields can be written in the form

$$\tilde{E}_z = k_x Q_i(\beta, z)e^{-j(k_x x_0 - k_y y_0)}$$

$$\tilde{H}_z = k_x P_i(\beta, z)e^{-j(k_x x_0 - k_y y_0)}$$

(3)

in which
B. Surface-Wave Contribution

The poles \( \beta_0 \) of \( \tilde{E}_x \) and \( \tilde{H}_x \) are determined by the zeros of \( T_m \) and \( T_r \) and represent the transverse magnetic (TM) and transverse electric (TE) surface-wave poles, respectively. For lossless mediums these poles, \( \beta_0 \), are real and their locations occur in the range \( k_0 < \beta_0 < \max(\sqrt{\varepsilon_\| k_0, \sqrt{\varepsilon_\perp} k_0}) \). In this work we have considered only the dominant TM surface-wave mode, since it has the major effect in most applications. However, the same procedure can be applied for higher order modes. Evaluation of \( E_x \) in (13) gives the total field, which is composed from the radiated and the surface-wave fields. Analytical evaluation of the integral in (13) requires a change of coordinates to \( k_x = \beta \cos \alpha, k_y = \beta \sin \alpha \) and \( x = \rho \cos \phi, y = \rho \sin \phi \). The new integration range is \( \beta = 0 \) to \( \infty \) and \( \alpha = 0 \) to \( 2\pi \). The integration on \( \alpha \) can be performed analytically [7] using the integral form of the first-order Bessel function. The integration on \( \beta \) is extended from \( -\infty \) to \( \infty \) by replacing the Bessel function with Hankel function, to obtain

\[
E_x(\rho, \phi, z) = -j\pi \cot \phi \int_{-\infty}^{\infty} \frac{\beta^2}{4\pi^2} Q(\beta, z)H_1^{(2)}(\beta \rho) d\beta
\]

in which \( H_1^{(2)}(\beta \rho) \) is the second Hankel function of the first order. The path of integration from \( -\infty \) to \( \infty \) can be extended as shown in Fig. 2. The integration path is deformed to avoid crossing the branch cut at \( -k_0 \) and includes the surface-wave pole at \( -\beta_0 \). Using the theory of residues the value of the contour integral can be computed by

\[
\int_{\infty}^{-\infty} \frac{f(\beta)}{T_m(\beta)} d\beta = 2\pi j \frac{f(\beta_0)}{T_m(\beta_0)}
\]

where \( T_m(\beta_0) \) is \( T_m \), derivative. The integration over the portion of the contour with \( |\beta| \rightarrow \infty \) vanishes thus, the integral given by (14) (the total field) is equal to the integration around the branch cut plus the residue contribution. The integration around the branch cut gives the radiated field, while the residue contribution gives the surface wave field. A simpler way to compute the radiated field is through the steepest descent method as explained in the next section. Evaluation of the RHS of (15) in all three regions gives the surface-wave fields.

\[
E_x^{aw}(\rho, \phi, z) = \frac{\beta_0}{2} \sin \phi U_i(\beta_0, z) H_1^{(2)}(\beta_0 | \rho - \rho_0 |
\]

where \( i = 1, 2, 3 \) stand for regions 1, 2, and 3, and \( U_i \) is equal to the expressions (4), (9), or (11) in which \( T_m \) is replaced by its derivative \( T'_m \).

Integration of \( E_x^{aw} \) over each of the patch edges of length \( b \) results in the total surface-wave field radiated. Near resonance, the current distribution of the equivalent magnetic current on the edge is constant, an assumption used in the integration over the edge. The fringing fields at the nonradiating edges (of length \( a \)) are accounted for by moving the location of the magnetic walls outward by an appropriate amount. The new radiating edge length is denoted by \( b_0 \). [8]. The surface-wave power radiated by each of the two radiating edges is given by

\[
P_s = \frac{1}{2} Re \int_0^{2\pi} E_x^{aw} H_x^{aw*} \rho d\phi d|z|_{\rho = \infty},
\]

The integration is performed in all three regions, and the sum of the results yields the total surface wave radiated by each of the two radiating edges. The integration on \( z \) can be performed analytically, while the integration over \( \phi \) must be performed numerically. The
power coupled to the surface wave can be represented by an edge conductance \( G_e \) [5]

\[
G_e = \frac{2P_{sw}}{b \int V^2(s) \, ds}
\]  

(18)

where the integration is along the patch edge of length \( b \) and \( V(s) \) is the voltage distribution along the edge. \( P_{sw} \) is the power radiated by the surface wave and is computed in (17). For a rectangular patch operating near resonance of the dominant mode, \( V(s) \) is uniform, and in this case (18) simplifies to \( G_e = 2P_{sw} \).

C. Radiation Fields

The radiated fields in region 3 \((z > d)\) can be computed from (11), (12), and (13) given that \( \beta < k_0 \) (the visible range). In this instance, \( \gamma_n \) is replaced by \(-jk_0\). The computation of the integral in (13) can be facilitated if we make change of variables to spherical coordinates

\[
x = r \sin \theta \cos \phi, \quad y = r \sin \theta \sin \phi, \quad z = r \cos \theta, \quad k_x = k_0 \sin \alpha \cos \delta, \quad k_y = k_0 \sin \alpha \sin \delta,
\]

Evaluation of the integrals in (13) for \( r \to \infty \) and using the steepest descent method gives the field expressions in spherical coordinates

\[
E_o = \frac{j k_0 e^{j \varphi \theta} e^{-j \beta_\theta}}{2\pi r} u_2 \cos k_0 u_1 d_1
\]

\[
\sin c \left( \frac{k_0}{2} \sin \theta \cos \phi \right) \cos \theta \sin \varphi,
\]

\[
E_o = -j k_0 b_{eff} e^{-j \beta_\theta / \cos \theta} u_2 \cos k_0 u_1 d_1
\]

\[
\sin c \left( \frac{k_0}{2} \sin \theta \cos \phi \right) \cos \theta \cos \varphi
\]

(19)

(20)

where

\[
u_1 = \sqrt{\varepsilon_1 - \sin^2 \theta}; \quad \nu_2 = \sqrt{\varepsilon_2 - \sin^2 \theta},
\]

\[
T_m = u_1 e^{j \varphi \theta} \sin k_0 u_1 d_1 (u_2 \cos k_0 u_2 d_2 + j \cos \theta e^{j \varphi \theta} \sin k_0 u_2 d_2)
\]

\[+ u_2 e^{j \varphi \theta} \cos k_0 u_1 d_1 \times (u_2 \sin k_0 u_2 d_2 - j \cos \theta e^{j \varphi \theta} \cos k_0 u_2 d_2),
\]

\[
T_e = u_2 \sin k_0 u_1 d_1 (u_2 \sin k_0 u_2 d_2 - j \cos \theta \cos k_0 u_2 d_2)
\]

\[+ u_1 \cos k_0 u_1 d_1 (u_2 \cos k_0 u_2 d_2 + j \cos \theta k_0 u_2 d_2).
\]

(21)

The power radiated by each of the radiating edges can be evaluated by integrating the Poynting vector over the upper hemisphere of the patch.

\[
P_r = \frac{1}{2} Re \int_0^{2\pi} \int_0^\pi \frac{E_o E_o^* - E_e E_e^*}{\eta_0} r^2 \sin \theta \, d\theta \, d\phi.
\]

(24)

The equivalent conductance of each radiating edge is \( G_e \) and can be computed by (18), in which \( P_{sw} \) is replaced by \( P_r \) to give \( G_e = 2P_r \). This result is obtained based on the assumption that the distribution of the dominant mode voltage \( V(s) \) over the radiating edge is uniform. The double integration in (24) is carried out numerically using Gaussian quadrature [7]. The radiation efficiency \( \eta_0 \) of the covered patch antenna is the ratio of the radiated power \( P_r \) to the total (radiated plus surface-wave) power.

D. Impedance Model

The transmission line model to analyze rectangular uncovered patch antennas was introduced by Munson [1] and improved by Derneryd [9] and Poes et al. [10] to consider the stored energy near the edges and the external mutual coupling between the radiating edges. In the present work this model was adopted for the covered patch case. The equivalent circuit of the covered rectangular patch is shown in Fig. 3. \( G_\ast \) and \( G_s \) represent the surface-wave and radiation conductances of the radiating edges. The susceptance \( B \) represents the stored energy near the edges, and the mutual admittance \( Y_m \) represents the external mutual coupling between the two radiating edges. The equivalent transmission line connecting the two edges has a length \( a \), and the feed distance from one of the edges is \( y_1 \). The parameters of a covered microstrip line have been computed by Bahl and St clough [11] and used in the present work. The characteristic impedance \( Z_0 \), the propagation constant \( \beta_0 \), and the effective dielectric constant \( \varepsilon_{eq}(b) \) of a covered microstrip line of width \( b \) are given by [11].

The edge susceptance \( B \) can be expressed in terms of the edge capacitance \( C(b) \) by \( B = j \omega C(b) \). An approximate method for the computation of the edge capacitance is based on the evaluation of the edge capacitance of a covered microstrip with width \( a \) and derived by Wolff and Knoppik [12]:

\[
C(b) = \frac{b}{2 \left( \frac{\varepsilon_{eq}(a)}{\varepsilon_0 Z_0(air)} - \varepsilon_{eq}(a) \frac{a}{d_1} \right)}
\]

(25)

where \( \varepsilon_{eq}(a) \) and \( Z_0(air) \) are computed in [11]. The open-end effect of the radiating edge is represented by the susceptance \( B \) and can be expressed by an open-end extension \( \Delta \) of the covered microstrip. Given the expressions, of the fields derived by the spectral-domain analysis (13), the external mutual conductance \( Y_m \) can be computed. Alternatively, we can use the expression derived by Poes and Van De Capelle [10], which gives the external conductance \( Y_m \) between two radiating edges

\[
Y_m = (G_e + G_s) F_x + G_e F_x k_0.
\]

(26)

The parameters \( F_x, F_s, \) and \( K_0 \) can be found in [10]. Given all the circuit parameters as shown in Fig. 3, one can compute the input admittance \( Y_m \) as shown in (27) at the bottom of the page, where \( Y_1 = G_e + G_s + j B \) and \( Y_0 = 1/Z_0 \). A coaxial probe feed can be
modeled by a coaxial stub in a parallel waveguide [13]. The formula for the probe input impedance \( Z_f \) is

\[
Z_f = \frac{Z_0}{4} k_0 d_1 \left( 1 - \frac{2}{\pi} \ln \frac{\gamma k_0 \sqrt{\varepsilon_r} \tau}{2} \right)
\]

(28)

where \( Z_0 = 120 \pi \), \( \gamma = 1.781 \) and \( r \) is the probe radius.

III. RESULTS

In this section numerical and measured results will be presented to validate the theory developed in Section II.

Fig. 4 shows the dependence of the radiation efficiency \( \eta_r \) on the superstrate thickness \( d_2 \) for various dielectric constants \( \varepsilon_2 \). The patch dimensions are \( a = 1.2 \text{ cm}, b = 1.6 \text{ cm}, d_1 = 0.1575 \text{ cm}, \varepsilon_1 = 2.2, \tan \delta_1 = 0.001, \tan \delta_2 = 0.0007, \text{ and frequency is } 6.4 \text{ GHz}. One can observe that the efficiency drops monotonically with the increase in the superstrate thickness up to a breaking point, with the approximate value of \( d_2 = 0.2 \lambda_0 / \sqrt{\varepsilon_2} \). Beyond this point a significant drop in the efficiency occurs. In the vicinity of the breaking point, we obtain a local increase in the radiation efficiency due to a local increase in the radiated power \( P_r \). These results confirm those reported in [2]. This phenomenon is especially accentuated for high \( \varepsilon_2 \). Fig. 4 indicates that lower \( \varepsilon_2 \) and \( d_2 \) should be favored to minimize the decrease in the antenna efficiency. However, there are cases in which materials with low \( \varepsilon_2 \) and \( d_2 \) don’t fulfill the mechanical requirements from the cover. In these cases Fig. 4 suggests upper bounds (the breaking points) on \( \varepsilon_2 \) and \( d_2 \) with relatively affordable losses due to the surface-wave excitation. Beyond these upper bounds the decrease in the antenna efficiency is significant.

The increase in \( \varepsilon_2 \) results in a decrease in the resonance frequency, the impedance of the patch, and the characteristic impedance of the feeding microstrip lines. The decrease in the characteristic impedance of the feeding lines results in an increase in the radiation loss of the network [14]. To restore the characteristic impedance level of the network to that of the uncovered case, the size of the feeding lines must be reduced. In some cases production considerations limit the minimum width of the microstrip lines and extra losses should be expected in the covered case beyond those outlined in the previous paragraph. Moreover, to minimize the dielectric loss of the feeding network low-loss superstrate and substrate materials are required.

\[
Y_{in} = 2Y_0 \frac{(Y_0^2 + Y_1^2 - Y_m^2)}{2Y_0Y_1 - j(Y_0^2 + Y_1^2 - Y_m^2) \cot(\beta_0a) + (Y_0^2 - Y_1^2 - Y_m^2) \cos(\beta_0(y_2 - y_1)) \csc(\beta_0a)}
\]

(27)
Fig. 6. $H$-plane radiation pattern of $4 \times 4$ microstrip array at 6.4 GHz.

As a consequence of these considerations we chose to cover the patch with $\varepsilon_2 = 4.2$ and $\tan \beta_2 = 0.0007$. The superstrate thickness was 0.21 cm. Fig. 5 shows a comparison of the measured and computed values of the patch input impedance for a feeding distance $y_1 = 0.28$ cm (see Fig. 1). The patch was fed coaxially. The computed resonance of the patch was 6.37 GHz, and the measured value was 6.4 GHz. As discussed previously, the dielectric cover decreases the resonance frequency, the resonance impedance, and to some extent the radiation efficiency. Based on the results obtained for a single patch, an array of $4 \times 4$ elements with a squared beam (15°) in the $H$ plane was designed and built. The spacing among the elements was $d_x = 0.75\lambda_0$ and $d_y = 0.84\lambda_0$. The feeding network was corporate, and the characteristic impedance of the loaded lines was computed based on [11]. The radiation pattern was measured in a planar near field range. Fig. 6 shows a comparison between the computed and measured $H$-plane patterns of the array at the resonance frequency 6.4 GHz. One can observe a good agreement between the two. The directivity of the array was 20.4 dBi, while the measured gain was 19 dBi. An equivalent uncovered array has a gain of 20 dBi, and the difference of 1 dB can be attributed to the difference in the efficiencies, 85% in the uncovered case and 65% for the covered case. An attempt was made to cover the array with a dielectric sheet of the same dimensions and $\varepsilon_2$, but with a higher loss tangent, $\tan \beta_2 = 0.0245$, this resulted in an additional loss of 1 dB due to the extra loss of the feeding structure. Consequently, low-loss materials for the cover or, alternatively, separation of the feeding network from the radiating elements, a technique discussed in [15], must be used to insure high radiation efficiency.

IV. CONCLUSION

This paper presents the analysis of a rectangular microstrip antenna covered with an arbitrary dielectric layer. In the analysis, the Green’s function of an elementary magnetic dipole is evaluated and the transmission line model is used to compute the input impedance. Numerical results on the effect of the dominant TM surface wave excited and its impact on the radiation efficiency and input impedance are presented. A single patch and a $4 \times 4$ microstrip array were built. The theoretical and measured results are in good agreement.

REFERENCES